

## Behavioral Change Interventions for the Prevention Toolbox

Laura H. Bachmann, MD, MPH  
Associate Professor of Medicine  
WG (Bill) Hefner Medical Center  
Salisbury, NC  
Wake Forest University Health Sciences  
Winston-Salem, NC

## Relevance of HIV Prevention Interventions for STD Prevention and Vice-Versa

- HIV is an STD and other STDs may enhance HIV transmission
- Similar behavioral goals
  - Sexual behavior change
    - Reduce number of partners
    - Condom use
  - Getting tested and getting partner(s) tested
  - Treatment and treatment adherence
- Many interventions developed for HIV prevention impact STD outcomes

Slide courtesy of Dr. Kees Rietmeijer

Historical Perspective

## Historical Perspective

- **Before HIV**
  - Major focus on identification and treatment of bacterial STDs as a means of preventing further spread (secondary prevention)
  - Prevention messages were given as an add-on
- **After HIV**
  - Increased emphasis on behavioral interventions to prevent acquisition of (incurable) infections (primary prevention)

Slide courtesy of Dr. Kees Rietmeijer

Historical Perspective

## Behavioral Interventions Then and Now

- **Then**
  - Intuitively a good thing to do: “An ounce of prevention is better than a pound of cure”
  - Mostly in the form of education messages:
    - Reduce the number of partners
    - Use condoms
- **Now**
  - Scientific evidence supports behavioral interventions
  - Major shift of focus from provider-delivered messages to involvement of the client and/or community in developing a tailored prevention plan

Slide courtesy of Dr. Kees Rietmeijer

## Client-Centered Counseling

Pioneered by the CDC as a behavioral intervention for HIV pre- and post-test counseling to be:

“Counseling conducted in an interactive manner through the use of open-ended questions and active listening. The focus is on developing prevention objectives and strategies with the client rather than simply providing information.”

*Resource:* Centers for Disease Control and Prevention HIV Prevention Case Management Guidelines, 1997

## Steps in Client-Centered Counseling

- Personalized risk assessment
- Support patient-initiated behavior change
- Help patient recognize barriers to risk reduction
- Negotiate an acceptable and achievable risk-reduction plan
- Refer patient to other specialized services, if needed

Slide courtesy of Dr. Kees Rietmeijer

## Open-ended Question Examples

- What do you think your risk is for STD?
- What happened the last time you had sex?
- What made you decide not to use a condom?
- What made you decide to use a condom?
- What do you think you can do to reduce your risk for STDs the next time you have sex?

Slide courtesy of Dr. Kees Rietmeijer

## STD/HIV Prevention Counseling: Does it Work?

- HIV prevention counseling: >\$100 million of the federal prevention budget for HIV/ AIDS
- Critical question: Is HIV/STD prevention counseling effective at changing high-risk behaviors and preventing new infections?
- The efficacy of HIV prevention counseling has not been definitively shown

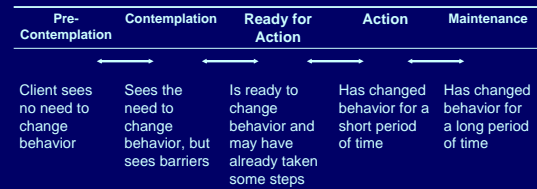
Courtesy: Mary L. Kamb, MD, CDC

## Client-Centered Counseling Problems

- How to best identify steps in the prevention process?
- How to best assist client in developing a prevention plan?

Slide courtesy of Dr. Kees Rietmeijer

## Stage of Change / Transtheoretical Model



Source: Prochaska and DiClemente, 1983

## Stage-Based Counseling Rochester STD/HIV Behavioral Counseling Model

- **Step 1: Behavioral Risk Assessment**
  - R - Nature and status of current sexual relationships
  - N - Number of partners - of both client and their partners and current sexual practices
  - A - History and attitudes about:
    - C - Condom use
    - T - STD/HIV testing
    - S - Substance use for the client and their current partner(s)

Slide courtesy of Dr. Kees Rietmeijer

## Stage-Based Counseling Rochester STD/HIV Behavioral Counseling Model

- **Step 2: Identification of target behavior**
  - Use information from risk assessment to select a target behavior with the client
    - Gold standard (sexual behavior)
      - Postpone/avoid sexual intercourse
      - Mutually monogamous relationships
      - Consistent condom use
      - Get STD/HIV testing and treatment
    - Harm reduction (sexual behavior)
      - Consistent condom use with outside partners
      - Non-penetrative sexual practices
      - Condom use for vaginal /anal sex not for oral
      - Other options: any 'first' step a client is willing to take

Slide courtesy of Dr. Kees Rietmeijer

## Stage-Based Counseling Rochester STD/HIV Behavioral Counseling Model

- Step 3: Assess client's readiness to change
- Step 4: Utilize a counseling strategy most likely to influence behavior change

Slide courtesy of Dr. Kees Rietmeijer

## Stage-Based Counseling Rochester STD/HIV Behavioral Counseling Model Influencing Factors

Pre-Contemplation	Contemplation	Ready for Action	Action and Maintenance
<u>Risk Appraisal:</u> Knowledge Perceived risk Perceived benefits Outcome expectancies	<u>Self Perceptions:</u> Attitudes Beliefs Self-efficacy  <u>Emotion/Arousal</u> <u>Social Influence:</u> Sexual relationship dynamics Perceived social norms Family Religious norms  <u>Environmental and Structural factors</u>	<u>Self Perceptions:</u> Self-efficacy Skills  <u>Environmental and Structural Access</u> Policy Law	<u>Responding to changes in:</u> Self-efficacy Support Emotion and Arousal Social norms sexual relationships

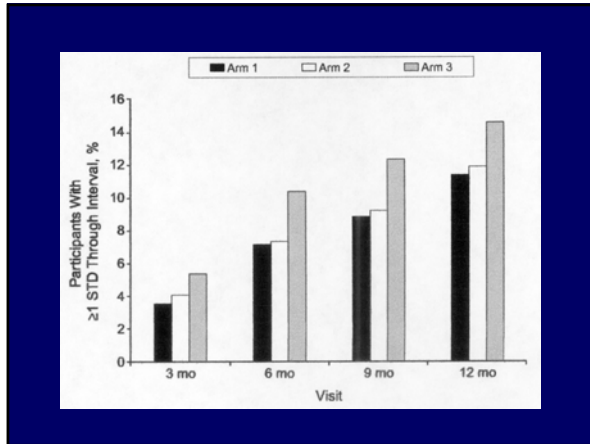
## Stage-Based Counseling Rochester STD/HIV Behavioral Counseling Model Counseling Strategy

Pre-Contemplation	Contemplation	Ready for Action	Action and Maintenance
<i>Tell 'story' about case similar to client's</i>  <i>Give information specific to client's situation</i>  <i>Discuss impact of client's behaviors on others</i>	Focus on client's ambivalence and the <i>cost/benefit</i> to change  Offer substitute behaviors / <i>harm reduction</i> options  Explore client's <i>self-image</i> in relation to behavior	Build <i>self-efficacy</i>  Teach and practice <i>skills</i>  Develop specific <i>prevention plan</i>  Increase <i>access</i> to prevention services  Refer to additional services/resources	Help client develop <i>support system</i>  Help client become a <i>role model</i>  Assist client to recognize and <i>avoid cues</i> to risky behavior  Assist client to find <i>substitutes</i>  Identify <i>rewards</i> for change

## Behavioral Interventions: What is the Evidence?

- Project Respect (N=5758) –
  - RCT involving 5 STD clinics
  - Target population = heterosexual HIV-
  - Outcome self-reported 100% condom use for vaginal sex and STD
  - Arm 1 (enhanced counseling)
  - Arm 2 (CDC counseling)
  - Arms 3 and 4 (didactic)

Kamb ML et al. JAMA, October 7, 1998



Individual Approaches

## Next Challenge: Prevention for Positives

---

- Rising concern about increased risk behaviors among persons with HIV infection as a result of
  - HAART optimism
  - Prevention burn-out
  - Younger at-risk individuals not being reached by old messages
- Increasing need for appropriate behavioral interventions for persons with HIV inside and outside the care setting

## Case 1

---

- KH is a 40+yo WM who presented to ED with fever and sore throat. Sore throat +/- odynophagia x 1 month
- ROS - +sores in mouth, +myalgias, +fever and chills, +cough due to throat irritation. Remainder negative.

## More history...

---

- HIV dx 2004. Last CD4 1100 with VL <50 when last measured. Lost insurance and out of care since 2005
- RUE DVT
- Soc – lives with mom in W-S, no tobacco x 5yr (former 15pkyr), + "social" alcohol use (former heavy use assoc. with DUI 2000), +IV crystal meth (last 1 wk PTA)

## Exam

- T-100.7, P – 80, R – 20, BP – 124/80, 100% sat on RA
- WNWD in NAD. OP- mild pharyngeal erythema, 0.5cm ulcer to left of uvula, shoddy submandibular LA. Skin – multiple tattoos.



## Labs and such...

- CBC, hepatic and FBP nl
- Flu-
- UA -
- Blood cx x 4 –
- Throat cx – nl flora
- GC throat cx – neg
- HSV throat cx – neg
- HSV-1 Ab equivocal
- HSV-2 Ab+
- Hep A Ab-, HBsAb-, HbsAg-, HCV Ab-
- UDS - + amphetamines
- CXR – normal
- CT angio – No PTE. Prominent axillary, subpectoral and supraclavicular nodal tissue
- Echo – normal. No veg.
- Patient discharged...

**RPR 1:256**

**3 weeks lapsed between  
discharge and presentation to  
clinic**

## Additional History

---

- Syphilis in 2004, treated in Atlanta with 2.4 million units of Bicillin. ?stage ?total duration course. + Jarisch-Herxheimer rxn
- Former stripper, sex with men only, 10 partners/6mo, last contact 2 days ago, exposure at all orifices

## Clinic Visit

---

- Throat pain and sores continue
- “Well yes, I do have places on my penis but I thought they were nothing....”
- “BTW...I have ringing in my ears and I don't think I hear as well as I used to”

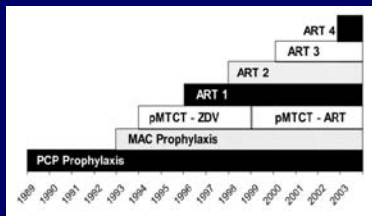
## Additional work-up

---

- LP
  - WBC 55 (100% mono)
  - RBC 0
  - Protein 38 (15-45)
  - Glucose normal
  - VDRL 1:2
- Now s/p 10d IV PCN G (in house)

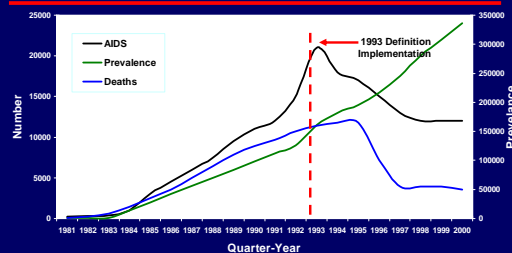
This is reality!

## History of HIV Treatment



Walensky et al. JID 2006

## Estimated AIDS Incidence\*, Deaths and Prevalence, By Quarter-Year Of Diagnosis/Death – United States, 1981-2000



HIV and AIDS—United States, 1981-2000. *MMWR*. 2001;50:430-4.

## Sena et al. AIDS Patient Care 2008; 22(12): 955-963

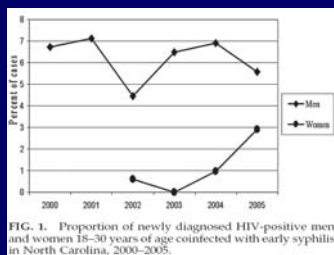


FIG. 1. Proportion of newly diagnosed HIV-positive men and women 18–30 years of age connected with early syphilis in North Carolina, 2000–2005.

## What to do?



## Provider-Delivered Interventions in the HIV Primary Care Setting

---

- **Partnership for Health**  
Richardson JL et al, AIDS 2004
- **Options/Opciones Project**  
Fisher JD et al, JAIDS 2005
- **Positive STEPS**  
Gardner LI et al, AIDS Patient Care and STDs 2008
- **Ask, Screen, Intervene**  
NNPTC, AETC collaboration

## Conclusions

---

- Several scientifically proven brief behavioral interventions are available for use in the STD and the HIV clinic setting
- As clinicians, we should strive to incorporate tailored, risk-reduction interventions into our individual patient encounters

## Resources

---

- National Network of Prevention Training Centers ([www.NNPTC.org](http://www.NNPTC.org))
- AL/NC STD/HIV PTC ([www.stdptc.org](http://www.stdptc.org))
- North Carolina Department of Health ([www.epi.state.nc.us/epi/hiv/training.html](http://www.epi.state.nc.us/epi/hiv/training.html))